

# Wave Modulation in Nonlinear Lattices: Nonlinear Schrödinger Formalism and Application in Dusty Plasma Crystals

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## 1. Introduction & Modeling

We have undertaken a study of the modulational dynamics of wave packets propagating in a one-dimensional (1D) hybrid Fermi-Pasta-Ulam-Tsingou / Klein-Gordon type lattice chain, incorporating an arbitrary polynomial (i.e. quadratic and/or cubic) coupling anharmonicity, in the presence of a nonlinear on-site (substrate) potential.

Consider a nonlinear lattice (chain) whose  $n^{\text{th}}$  site has the equation of motion:

$$m\ddot{\psi}_n = \mathcal{K}(\psi_{n+1} + \psi_{n-1} - 2\psi_n) + \mathcal{K}\beta[(\psi_{n+1} - \psi_n)^3 - (\psi_n - \psi_{n-1})^3] - m(\omega_0^2\psi_n + a\psi_n^2 + b\psi_n^3), \quad (1)$$

where,  $\mathcal{K}$  is the spring constant,  $m$  is the mass and  $\psi_n$  is the displacement of mass at site  $n$ .

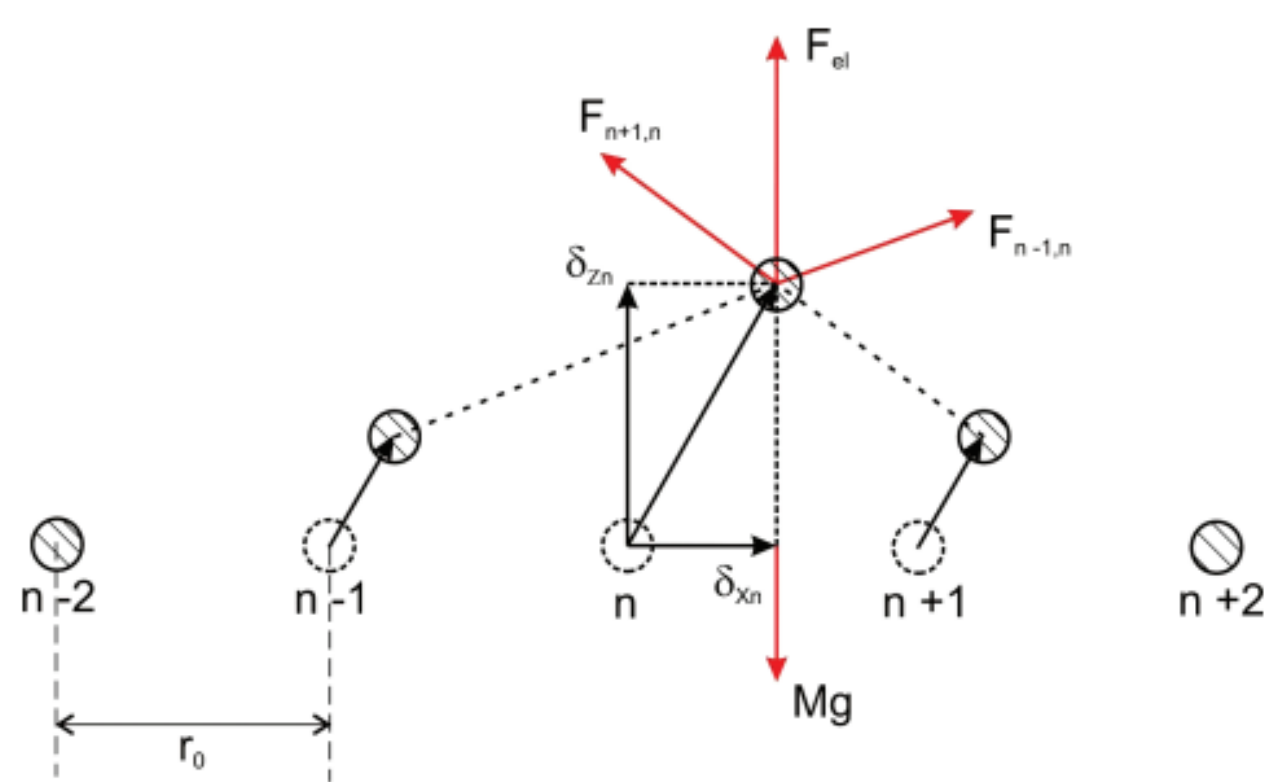
The quasi-continuum approximation, viz. setting  $\psi_n(t) \simeq \psi(x, t)$ , and Taylor expanding,  $\psi(n \pm D, t) \approx \psi \pm D\psi_x + \frac{1}{2}D^2\psi_{xx} \dots$

$$\psi_{tt} - c_0^2\psi_{xx} + \omega_0^2\psi = 3\beta c_0^2 D^2(\psi_x)^2\psi_{xx} - a\psi^2 - b\psi^3. \quad (2)$$

Here,  $D$  is the lattice constant, and we have defined the speed  $c_0^2 = \mathcal{K}(D^2/m)$ .

## 2. Application to dusty plasma crystals

This research applies directly to the modeling of transverse dust-lattice waves in dusty plasma crystals [1, 2].



**Figure 1:** Dust grain vibrations in the longitudinal ( $\sim \hat{x}$ ) and transverse ( $\sim \hat{z}$ ) directions, in a (1d) dust lattice. Note that the longitudinal degree of freedom is assumed to be “frozen” in this work. Figure adapted from [1].

It has been shown in earlier studies [1] that the equation of motion for nonlinear transverse dust lattice waves (TDLW) reads:

$$\frac{d^2 z_n}{dt^2} = \omega_{0,T}^2(2z_n - z_{n+1} - z_{n-1}) + \frac{a_{02}}{r_0}[(z_{n+1} - z_n)^3 - (z_n - z_{n-1})^3] - \omega_g^2 z_n - a z_n^2 - b z_n^3. \quad (3)$$

Here, the coupling coefficients  $\omega_{0,T}$  and  $a_{02}$  depend on the plasma Debye length  $\lambda_D$  as,

$$\omega_{0,T}^2 = \frac{q^2}{M\lambda_D^3} e^{-\kappa} \frac{1+\kappa}{\kappa^3}, \quad \frac{a_{02}}{r_0} = \frac{q^2}{2M\lambda_D^5} e^{-\kappa} \frac{\kappa^2 + 3\kappa + 3}{\kappa^5},$$

$$\kappa = \frac{r_0}{\lambda_D}, \quad (4)$$

here,  $q$  is the charge of the dust particles (assumed to be constant),  $r_0$  is the distance between dust grains,  $M$

is the mass of dust particles and  $z_n$  is the vertical displacement of the  $n^{\text{th}}$  dust grain. The parameters  $\omega_g$ ,  $a$  and  $b$  are found experimentally [1, 3].

In the continuum approximation, (3) will become:

$$z_{tt} + c_T^2 z_{xx} + \omega_g^2 z = 3a_{02} r_0^3 (z_x)^2 z_{xx} - a z^2 - b z^3, \quad (5)$$

where we used  $\omega_{0,T}^2 r_0^2 = c_T^2$ . Upon a simple comparison, we note that Eq. (5) is formally identical to Eq. (2), upon a straightforward change in notation.

## 3. NLSE Framework for Dust Lattices

Applying Newell’s multiple scales technique in its quasi-discrete version (see details in [4]), we find a solution representing a modulated wavepacket:

$$z_n(t) \approx \epsilon \left[ \hat{\psi} e^{i(knr_0 - \omega t)} + c.c \right] + \epsilon^2 a \left[ -\frac{2|\hat{\psi}|^2}{\omega_g^2} + \frac{\psi^2}{3\omega_g^2} e^{2i(knr_0 - \omega t)} + c.c \right] + \mathcal{O}(\epsilon^3)$$

where c.c. denotes the complex conjugate.

The first-harmonic amplitude  $\psi$  is given by the NLS equation:

$$i\frac{\partial \psi}{\partial T} + P\frac{\partial^2 \psi}{\partial X^2} + Q|\psi|^2\psi = 0, \quad (7)$$

where  $X = \epsilon(x - v_g t)$ ,  $T = \epsilon^2 t$  (assuming  $\epsilon \ll 1$ ) and  $v_g = \omega'(k)$  denotes the (negative, in this case) group velocity.

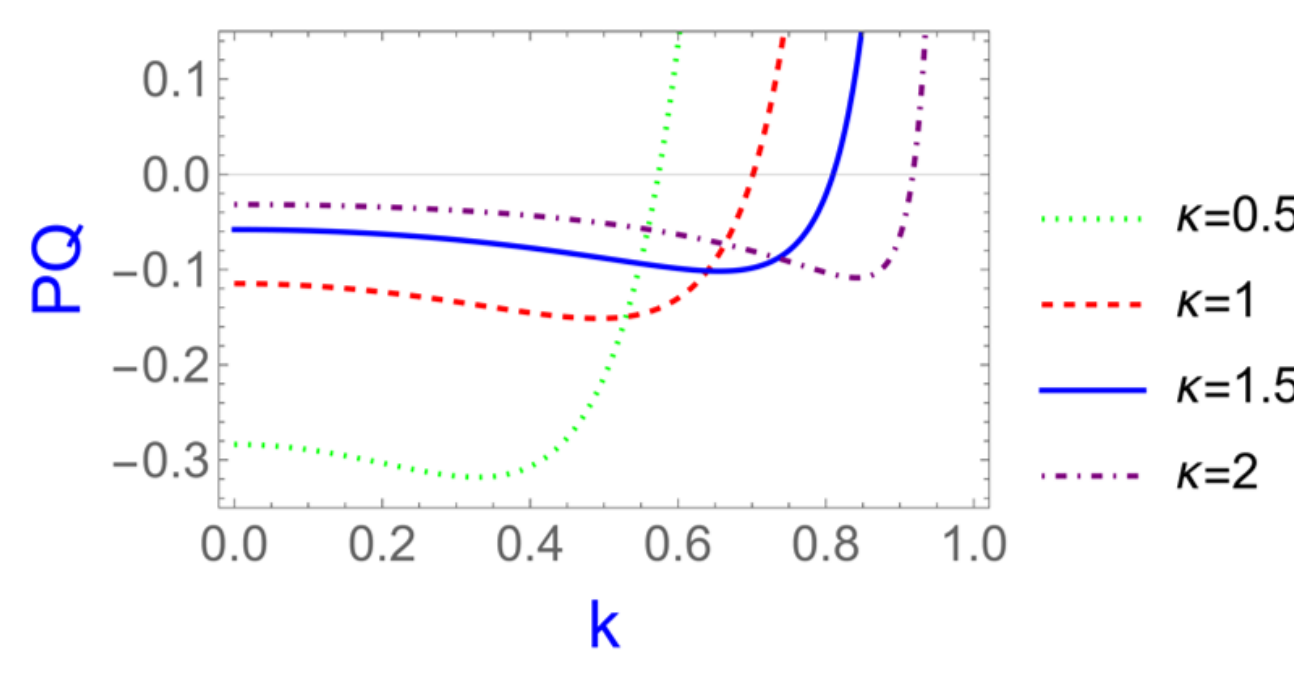
The rescaled (dimensionless) coefficients are given by,

$$P = -e^{-\kappa} \frac{1+\kappa}{\kappa} \frac{1}{2\omega^3} < 0 \quad \forall k, \quad (8)$$

$$Q = \frac{1}{2\omega} \left( \frac{10a^2}{3} - 3b - e^{-\kappa} \frac{\kappa^2 + 3\kappa + 3}{\kappa} k^4 \right). \quad (9)$$

In deriving the above, we have rescaled the algebraic expressions above by normalizing time by  $\omega_g^{-1}$  and length by  $c_T/\omega_g$ .

## 4. Focusing ( $PQ > 0$ ) vs defocusing ( $PQ < 0$ ) regime



**Figure 2:** The product  $PQ$  is depicted versus the carrier wavenumber  $k$ , for various  $\kappa$  values. Typical values adapted from [3] are:  $a \approx -0.5$  and  $b \approx 0.07$ .

## 5. Modulational Instability

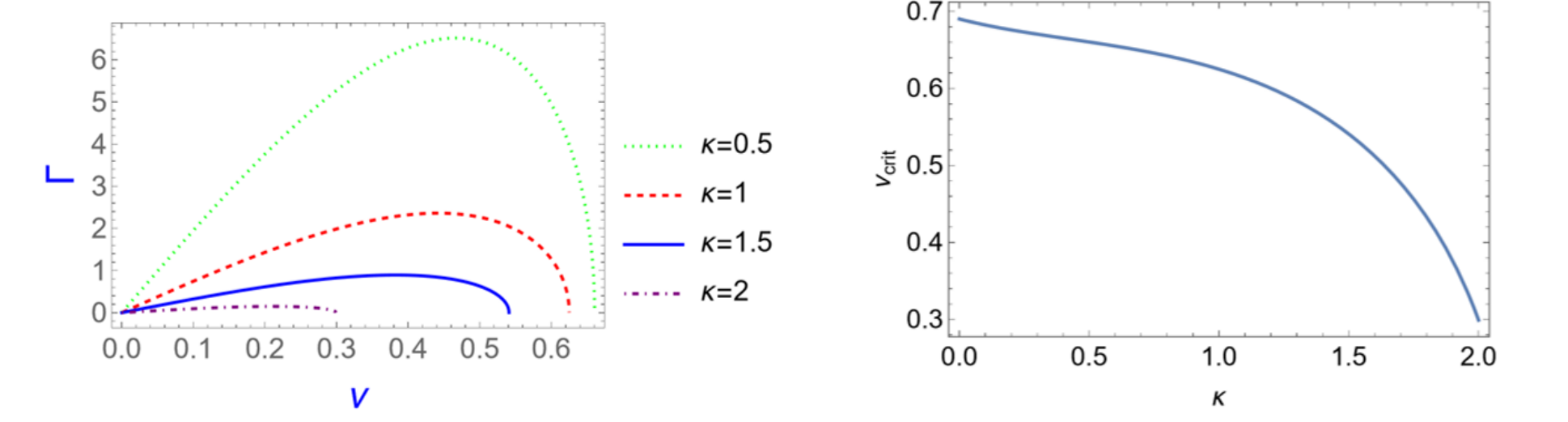
When  $PQ > 0$ , Benjamin–Feir modulational instability occurs, with growth rate given by :

$$\Gamma = |P\nu| \sqrt{\nu_{crit}^2 - \nu^2}. \quad (10)$$

Here, the critical wavenumber ( $\nu_{crit}$ ) is defined as:

$$\nu_{crit} = A_0 \sqrt{\frac{2Q}{P}},$$

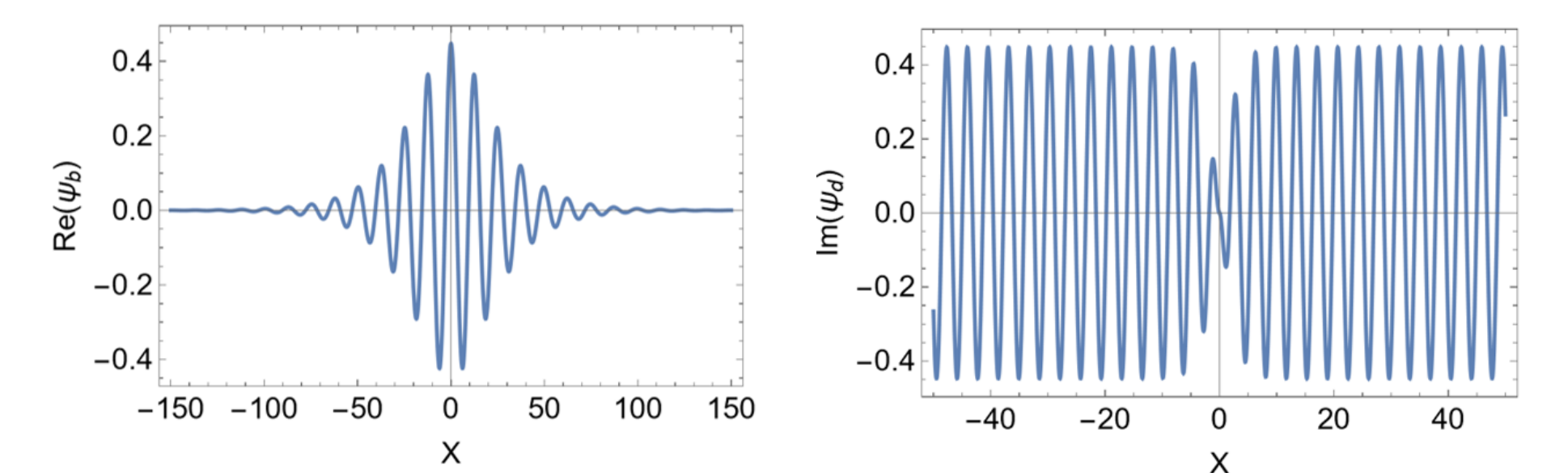
where,  $A_0$  is the wavepacket amplitude. The maximum growth rate of  $\Gamma$  occurs at :  $\nu_{max} = \nu_{crit}/\sqrt{2}$ .



**Figure 3:** Modulational instability growth for varying  $\kappa$  values for arbitrary values of  $k = 0.95$  and  $A_0 = 1$ .

**Figure 4:** The line represents  $\nu_{crit}$  vs  $\kappa$  for arbitrary values of  $k = 0.95$  and  $A_0 = 1$ .

## 6. Envelope soliton solutions of the NLS Equation



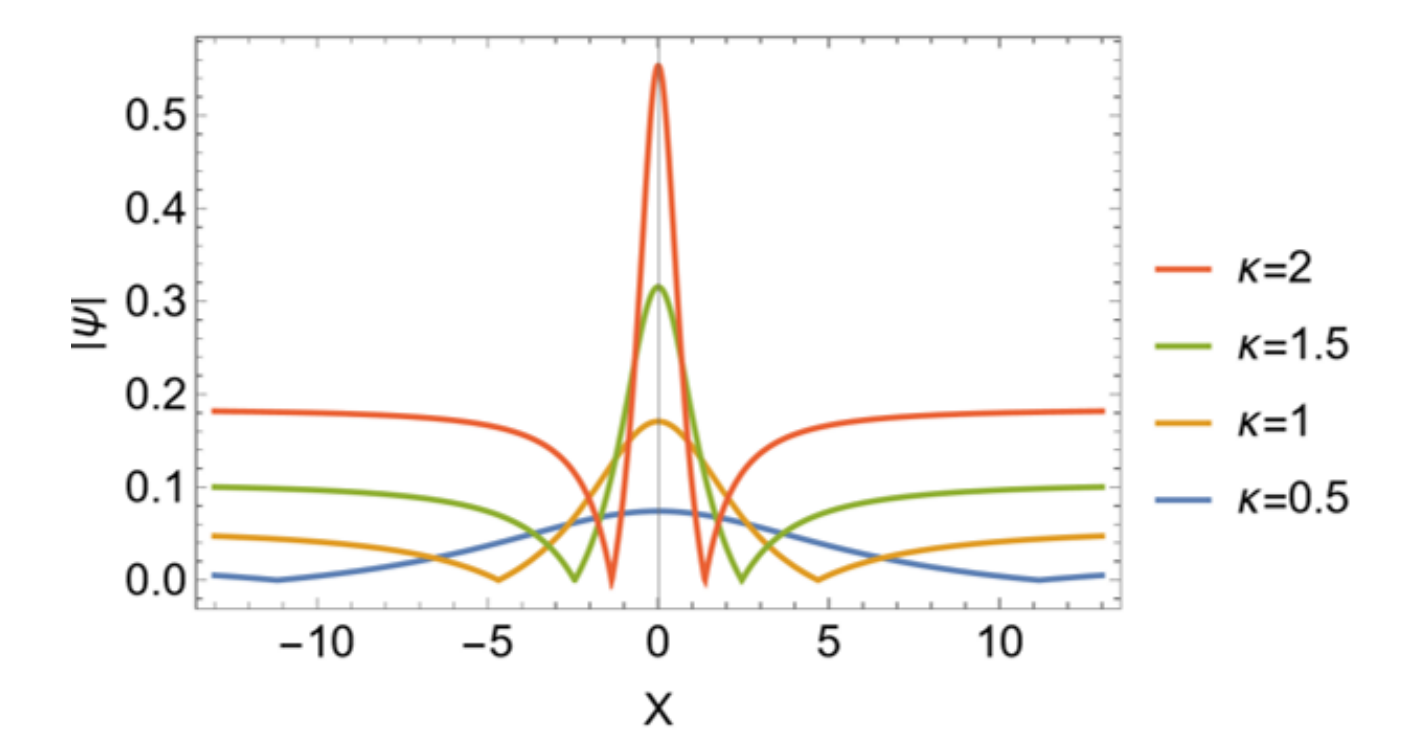
**Figure 5:** Bright envelope soliton for  $\kappa = 1.5$ ,  $k = 0.82$ ,  $u_e = 1$ ,  $\rho_0 = 0.2$ ,  $\Omega = 1$ ,  $T = 0$ .

**Figure 6:** Dark envelope soliton for  $\kappa = 1.5$ ,  $k = 0.5$ ,  $u_e = 1$ ,  $\rho_0 = 0.2$ ,  $T = 0$ .

The Peregrine solution is given by (expression adapted from [5]) :

$$\psi = \frac{\sqrt{p_0}}{Q} \left[ 1 - \frac{4(1 + 2i\frac{p_0 T}{Q})}{1 + 4\frac{p_0 T^2}{Q^2} + \frac{p_0}{PQ} X^2} \right] e^{i\frac{p_0 T}{Q}}, \quad (11)$$

where  $p_0 \in \mathbb{R}_+^*$ .



**Figure 7:** Peregrine soliton for  $k = 1.5$ ,  $p_0 = 0.01$  and  $T = 0$ .

## 7. Conclusions

- Study: Preliminary investigation of energy localization in TDLW in dusty plasma crystals.
- Analyzed how focusing vs. defocusing regimes shift with change in  $\kappa$ .
- Predictions include: envelope modes, MI, and rogue wave solutions.

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## References

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